

Lombard Odier Asset Management (Europe) Limited

Appendix I of the Best Execution Policy - Execution Venues Used

Lombard Odier Asset Management (Europe) Limited may use the following multilateral trading facilities, brokers and counterparties when seeking best execution as defined under applicable regulations. The list below may be subject to change and may be revised from time to time. In exceptional circumstances, the Firm may use brokers not on the approved list. In addition, Lombard Odier Asset Management (Europe) Limited will add and remove brokers to and from the approved list in accordance with its regular practices as described in the execution policy. This appendix I may not reflect such additions and removals until the next update of the execution policy, which may be in connection with the annual review described in the execution policy.

List of Execution Venues

ABG Sundal Collier ASA	Barclays Bank PLC
ABN AMRO Bank N.V.	Basler Kantonalbank
AFS Interest B.V.	Bayerische Landesbank
Agricultural Bank of China Limited	BCP Securities, LLC
AK Capital LLC	Beech Hill Securities, Inc
AllianceBernstein LP	Beijing Gao Hua Securities Company Limited
Amherst Pierpont Securities LLC	Bluefin Europe LLP
Aon Securities Inc.	BNP Paribas SA
Arden Partners PLC	BPCE SA
Arqaam Capital Limited	Bradesco SA Corretora de Titulos e Valores Mobiliarios
Aurel BGC	Brownstone Investment Group, LLC
Australia and New Zealand Banking Group Limited	BTIG, LLC
Avior Capital Markets (Pty) Ltd.	Buckingham Research Group Incorporated
Axis Bank Limited Singapore Branch	Canaccord Genuity Limited
B. Riley FBR, Inc.	Canadian Imperial Bank of Commerce
Baader Helvea AG	Cantor Fitzgerald LP
Banco Bilbao Vizcaya Argentaria, S.A.	Carnegie Investment Bank AB
Banco Santander, S.A.	Cenkos Securities PLC
Bank of America, National Association	CF Global Trading, L.L.C.
Bank of China Limited	China International Capital Corporation Hong Kong Securities Limited
Bank of Montreal	CIMB Bank Berhad
Bank Vontobel AG	Citadel Securities (Europe) Limited
Banque Cantonale de Geneve SA	Citibank, N.A.
Banque Cantonale Vaudoise	CITIC Securities Company Limited
Banque Federative du Credit Mutuel	CMB International Securities Limited
Banque Lombard Odier & Cie SA	
Banque Pictet & Cie SA	

Lombard Odier Asset Management (Europe) Limited

Commerzbank Aktiengesellschaft
Commonwealth Bank of Australia
Cooperatieve Rabobank U.A.
Cowen Execution Services LLC
Credit Agricole S.A.
Credit Suisse AG
CRT Capital Group LLC
Daiwa Capital Markets Europe Limited
Danske Bank A/S
DBS Bank Ltd.
DekaBank Deutsche Girozentrale
Deutsche Bank AG
DNB Bank ASA
Dowgate Capital Stockbrokers Limited
Edelweiss Securities Limited
Evercore Group L.L.C.
Fidentiis Equities, Sociedad de Valores, S.A.
FinnCap Ltd
First Abu Dhabi Bank P.J.S.C.
Firstenergy Capital LLP
Flow Traders BV
Fubon Securities Co., Ltd.
Goldman Sachs International
Goodbody Stockbrokers
Gottex Brokers SA
Guggenheim Securities, LLC
Guotai Junan Securities (Hong Kong) Limited
Haitong International Securities Company Limited
HPC SA
HSBC Bank plc
Hybridan LLP
IG Markets Limited
Imperial Capital, LLC
Industrial & Commercial Bank of China Ltd
ING Bank NV
Investec Bank PLC
Investment Technology Group, Inc.
Itau Unibanco S.A.
J & E Davy
Jane Street Financial Limited
Jefferies International Limited
JNK Securities Corp.
Joh Berenberg, Gossler & Co. KG
Jones & Associates Inc
JP Morgan Chase Bank, National Association
Julius Baer & Co Limited
KBC Bank NV
Kepler Cheuvreux
KeyBank National Association
KGI Asia Limited
KNG Securities LLP
Kotak Mahindra (UK) Limited
Kyte Broking Limited
Ladenburg Thalmann Financial Services Inc
Landesbank Baden-Wuerttemberg
Landesbank Hessen-Thuringen Girozentrale
Larrain Vial S.A. Corredora de Bolsa
Lazard Freres & Co. LLC
Leerink Partners LLC
Liberum Capital Limited
Liquidnet Holdings Inc
Lloyds Bank PLC
Louis Capital Markets UK LLP
Luzerner KantonalBank AG
Macquarie Bank Limited
MainFirst Bank Aktiengesellschaft
Makor Securities London Ltd
Mandiri Securities Pte. Ltd.
MarketAxess Europe Limited
McCall, Aitken, McKenzie & Co Limited
Mediobanca Banca di Credito Finanziario Societa
Per Azioni
Millennium Consolidated Holdings LLC
Mirabaud SCA
Mirae Asset Daewoo Co.,Ltd.
Mitsubishi UFJ Trust and Banking Corporation
Mizuho Securities Co Ltd
MKM Partners LLC
MMC Securities LLC
Morgan Stanley & Co. LLC

Lombard Odier Asset Management (Europe) Limited

National Australia Bank Limited
Needham & Company, LLC
Nex International Limited
Nomura Holdings Inc
Norddeutsche Landesbank - Girozentrale
Nordea Bank AB
Novum Securities Limited
Nplus1 Singer Capital Markets Limited
Numis Securities Limited
Octo Finances SA
Oddo BHF SCA
Olivetree Financial Limited
Oppenheimer & Co. Inc.
Optiver Holdings BV
Oversea-Chinese Banking Corporation Limited
Panmure Gordon (UK) Limited
Pareto Securities AS
Peel Hunt LLP
Peterhouse Corporate Finance Limited
Piper Jaffray & Co.
PrimaryBid Limited
R.W.Pressprich & Co.
Raiffeisen Schweiz Genossenschaft
Raymond James Financial Inc
Redburn (Europe) Limited
Robert W. Baird & Co. Incorporated
Royal Bank of Canada
Royal Bank Of Scotland Public Limited Company
Sanlam Securities UK Limited
SC Lowy Asset Management (UK) Ltd
Scotiabank Europe PLC
Shore Capital Stockbrokers Limited
Skandinaviska Enskilda Banken AB
SMBC Nikko Capital Markets Limited
Societe Generale SA
Square Global Ltd
Standard Chartered Bank
State Street Bank and Trust Company
Stifel Financial Corp.
StoneX Financial Limited
SumRidge Partners, LLC
Sunrise Brokers LLC
SunTrust Bank Inc
Susquehanna Financial Group, LLLP
Swiss Reinsurance Co Ltd
The Northern Trust Company
The Seaport Group LLC
The Toronto-Dominion Bank
TigerRisk Partners LLC
TP ICAP PLC
TPCG Financial Services Agente De Valores S.A.
Tradeweb Markets Inc
Tradition Securities and Derivatives Inc.
Tudor, Pickering, Holt & Co. Securities, Inc.
Turner Pope Investments (TPI) Ltd
UBS AG
UniCredit SpA
Unlu Menkul Degerler A.S.
Valiant Bank AG
Van Lanschot NV
Volksbank AG
VSA Capital Limited
W H Ireland Limited
Watermill Institutional Trading LLC
Weeden & Co. L.P.
Wells Fargo & Co
Whitman Howard Limited
William Blair & Company, L.L.C.
Willis Towers Watson PLC
Winterflood Securities Limited
Zeus Capital Limited
Zuercher KantonalbankABG Sundal Collier ASA

Lombard Odier Asset Management (Europe) Limited

BlockMatch
Bloomberg Multilateral Trading Facility
Cboe Europe Equities MTF – Cboe Large in Scale
Service
EBS MTF – EBS Institutional
Liquidnet Europe Equities
Liquidnet Europe Fixed Income
MarketAxess Europe MTF
POSIT
Reuters Transaction Services Limited – FXall RFQ
Tradeweb Europe Limited MTF
REDI